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<span id="page-0-0"></span>

# **Outline**









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#### <sup>2</sup> [Oscillations of Mössbauer neutrinos: Qualitative arguments](#page-15-0)



<span id="page-2-0"></span>

Classical Mössbauer effect: *Recoilfree* emission and absorption of γ-rays from nuclei bound in a crystal lattice.

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> R. L. Mössbauer, Z. Phys. **151** (1958) 124 H. Frauenfelder, *The Mössbauer effect*, W. A. Benjamin Inc., New York, 1962

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#### A similar effect should exist for neutrino emission/absorption in bound state β decay and induced electron capture processes.

W. M. Visscher, Phys. Rev. **116** (1959) 1581; W. P. Kells, J. P. Schiffer, Phys. Rev. **C28** (1983) 2162 R. S. Raghavan, hep-ph/0511191; R. S. Raghavan, hep-ph/0601079

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Proposed experiment:

Production: <sup>3</sup>H  $\rightarrow$  <sup>3</sup>He<sup>+</sup> +  $\bar{\nu}_e$  + e<sup>-</sup>(bound) Detection:  ${}^{3}$ He<sup>+</sup> + *e*<sup>-</sup>(bound) +  $\bar{\nu}_e$   $\rightarrow$   ${}^{3}$ H

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Physics goals:

- Neutrino oscillations on a laboratory scale:  $E = 18.6$  keV,  $L_{\text{atm}}^{\text{osc}} \sim 20$  m.
- Gravitational interactions of neutrinos
- Study of solid state effects with unprecedented precision

Mössbauer neutrinos have very special properties:

- Neutrino receives *full* decay energy:  $Q = 18.6$  keV
- Natural line width:  $\gamma \sim 1.17 \times 10^{-24}$  eV
- Atucal line width:  $\gamma \ge 10^{-11}$  eV
	- $\blacktriangleright$  Inhomogeneous broadening (Impurities, lattice defects)
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#### Experimental challenges:

- Is the Lamb-Mössbauer factor (fraction of recoil-free emissions/absorptions) large enough?
- Can a linewidth  $\gamma \gtrsim 10^{-11}$  eV be achieved?
- Can the resonance condition be fulfilled?

Recent controversy:

- **•** Does the small energy uncertainty prohibit oscillations of Mössbauer neutrinos?
- Do oscillating neutrinos need to have equal energies resp. equal momenta?

S. M. Bilenky, F. v. Feilitzsch, W. Potzel, J. Phys. **G34** (2007) 987, hep-ph/0611285

Does the time-energy uncertainty relation prevent oscillations?

S. M. Bilenky, arXiv:0708.0260, S. M. Bilenky, F. v. Feilitzsch, W. Potzel, J. Phys. **G35** (2008) 095003 (arXiv:0803.0527), arXiv:0804.3409 E. Kh. Akhmedov, JK, M. Lindner, arXiv:0803.1424

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 $\Rightarrow$  Careful treatment with as few assumptions as possible is needed  $\Rightarrow$  Answer to the above questions will be No.

# **Outline**



#### <sup>2</sup> [Oscillations of Mössbauer neutrinos: Qualitative arguments](#page-15-0)



<span id="page-15-0"></span>

## Textbook derivation of the oscillation formula

Diagonalization of the mass terms of the charged leptons and neutrinos gives

$$
\mathcal{L} \supset -\frac{g}{\sqrt{2}} \left( \bar{e}_{\alpha L} \gamma^{\mu} U_{\alpha j} \nu_{jL} \right) W_{\mu}^{-} + \text{diag. mass terms } + h.c.
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(flavour eigenstates:  $\alpha = e, \mu, \tau$ , mass eigenstates:  $j = 1, 2, 3$ )

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(flavour eigenstates:  $\alpha = e, \mu, \tau$ , mass eigenstates:  $j = 1, 2, 3$ ) Assume, at time  $t = 0$  and location  $\vec{x} = 0$ , a flavour eigenstate

$$
|\nu(0,0)\rangle = |\nu_{\alpha}\rangle = \sum_{i} U_{\alpha j}^* |\nu_j\rangle
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is produced. At time *t* and position  $\vec{x}$ , it has evolved into

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Oscillation probability:

$$
P(\nu_\alpha \to \nu_\beta) = \left| \left\langle \nu_\beta | \nu(t, \vec{x}) \right\rangle \right|^2 = \sum_{j,k} U^*_{\alpha j} U_{\beta j} U_{\alpha k} U^*_{\beta k} e^{-i(E_j - E_k)t + i(\vec{p}_j - \vec{p}_k)\vec{x}}
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These are *assumptions* or *approximations*, not fundamental principles!

• In general, neither the equal energy assumption nor the equal momentum assumption is physically justified because both violate energy-momentum conservation in the production and detection processes.

> R. G. Winter, Lett. Nuovo Cim. **30** (1981) 101 C. Giunti, W. Kim, Found. Phys. Lett. **14** (2001) 213, hep-ph/0011072

C. Giunti, Mod. Phys. Lett. **A16** (2001) 2363, hep-ph/0104148, C. Giunti, Found. Phys. Lett. **17** (2004) 103, hep-ph/0302026

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Energy-momentum conservation for emission of mass eigenstate  $|\nu_i\rangle$ :

 $E_i^2 = \frac{m_{\pi}^2}{4}$ 4  $\left(1 - \frac{m_{\mu}^{2}}{m^{2}}\right)$  $m_\pi^2$  $\int_{0}^{2} + \frac{m_i^2}{2}$ 2  $\left(1 - \frac{m_{\mu}^{2}}{m^{2}}\right)$  $m_\pi^2$  $+ \frac{m_i^4}{4m_\pi^2}$  $p_i^2 = \frac{m_\pi^2}{4}$ 4  $\left(1-\frac{m_{\mu}^{2}}{m^{2}}\right)$  $m_\pi^2$  $\bigg)^2 - \frac{m_i^2}{2}$ 2  $\left(1 - \frac{m_{\mu}^2}{m^2}\right)$ *m*<sup>2</sup> π  $+ \frac{m_i^4}{4m_\pi^2}$ For massless neutrinos:  $E_i = p_i = E \equiv \frac{m_\pi}{2}$  $\left(1 - \frac{m_{\mu}^2}{m_{\pi}^2}\right)$  $\Big) \simeq 30$  MeV. To first order in  $m_i^2$ .  $m_i^2$  $m_i^2$  $m_{\mu}^2$ 

$$
E_i \simeq E + \xi \frac{m_i^2}{2E}, \qquad p_i \simeq E - (1-\xi) \frac{m_i^2}{2E}, \qquad \xi \approx \frac{1}{2} \left( 1 - \frac{m_\mu^2}{m_\pi^2} \right) \approx 0.2
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• Mössbauer neutrinos are the *only* realistic case, where  $E_j \simeq E_k$  holds *approximately*, due to the tiny energy uncertainty,  $\sigma_F \sim 10^{-11}$  eV.

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Beuthe, Giunti, Grimus, Kiers, Kim, Lee, Mohanty, Nussinov, Stockinger, Weiss, . . .



Coherence in production and detection processes



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Requirement for mass resolution σ*m*:

 $\sigma_m^2 = \sqrt{(2E\sigma_E)^2 + (2p\sigma_p)^2} > \Delta m^2$ 

B. Kayser, Phys. Rev. **D24** (1981) 110

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This is easily fulfilled for Mössbauer neutrinos, since

 $\sigma$ <sup>E</sup>  $\sim$  10<sup>-11</sup> eV σ*<sup>p</sup>* = 1/2σ*<sup>x</sup>* ∼ 1/interatomic distance ∼ 10 keV  $E = p = 18.6 \text{ keV}$ 

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- Coherence maintained during propagation



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- Coherence maintained during propagation Decoherence could be caused by wave packet separation



$$
\bigwedge\hspace{-0.25cm}\bigwedge\hspace{-0.25cm}\stackrel{v_i}{\longrightarrow}\hspace{-0.25cm}v_j\not\bigwedge\hspace{-0.25cm}\stackrel{v_j}{\longrightarrow}\hspace{-0.25cm}\bigwedge\hspace{-0.25cm}\stackrel{v_j}{\longrightarrow}\hspace{-0.25cm}
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It can be shown that, for Mössbauer neutrinos, σ*<sup>p</sup>* is small enough, so that

 $L^{\rm osc} \ll L^{\rm coh}$ .

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It can be shown that, for Mössbauer neutrinos, σ*<sup>p</sup>* is small enough, so that

 $L^{\rm osc} \ll L^{\rm coh}$ .

 $\Rightarrow$  Stanard oscillation formula is approximately recovered:

$$
\begin{aligned} P_{ee} &= \sum_{j,k} |U_{ej}|^2 |U_{ek}|^2 \exp\big[-2\pi i \frac{L}{L_{jk}^{\rm osc}}\big] \\ L_{jk}^{\rm osc} &= \frac{4\pi E}{\Delta m_{jk}^2} \end{aligned}
$$

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# Quantum field theoretical treatment

Aim: Properties of the neutrino should be automatically determined from properties of the source and the detector.

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Idea: Treat neutrino as an internal line in a tree level Feynman diagram:



External particles reside in harmonic oscillator potentials. E.g. for <sup>3</sup>H atoms in the source:

$$
\psi_{\mathsf{H},\mathcal{S}}(\vec{x},t)=\left[\frac{m_{\mathsf{H}}\omega_{\mathsf{H},\mathcal{S}}}{\pi}\right]^{\frac{3}{4}}\exp\left[-\frac{1}{2}m_{\mathsf{H}}\omega_{\mathsf{H},\mathcal{S}}|\vec{x}-\vec{x}_{\mathcal{S}}|^2\right]\cdot e^{-iE_{\mathsf{H},\mathcal{S}}t}
$$

Oscillation amplitude

$$
i\mathcal{A} = \int d^3x_1 dt_1 \int d^3x_2 dt_2 \left(\frac{m_{H}\omega_{H,S}}{\pi}\right)^{\frac{3}{4}} \exp\left[-\frac{1}{2}m_{H}\omega_{H,S}|\vec{x}_1 - \vec{x}_S|^2\right] e^{-iE_{H,S}t_1}
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\cdot \left(\frac{m_{He}\omega_{He,S}}{\pi}\right)^{\frac{3}{4}} \exp\left[-\frac{1}{2}m_{He}\omega_{He,S}|\vec{x}_1 - \vec{x}_S|^2\right] e^{+iE_{He,S}t_1}
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$$

$$
\cdot \sum_j \mathcal{M}^{\mu} \mathcal{M}^{\nu*} |U_{ej}|^2 \int \frac{d^4p}{(2\pi)^4} e^{-ip_0(t_2 - t_1) + i\vec{p}(\vec{x}_2 - \vec{x}_1)}
$$

$$
\cdot \vec{u}_{e,S} \gamma_{\mu} (1 - \gamma^5) \frac{i(\cancel{p} + m_j)}{p_0^2 - \vec{p}^2 - m_j^2 + i\epsilon} (1 + \gamma^5) \gamma_{\nu} u_{e,D}.
$$

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$$

Evaluation:

- $\bullet$  *dt*<sub>1</sub> *dt*<sub>2</sub>-integrals  $\rightarrow$  energy-conserving  $\delta$  functions  $\rightarrow$  *p*<sub>0</sub>-integral trivial
- *d* <sup>3</sup>*x*<sup>1</sup> *d* <sup>3</sup>*x*2-integrals are Gaussian
- *d* <sup>3</sup>*p*-integral: Use Grimus-Stockinger theorem

# The Grimus-Stockinger theorem

Let  $\psi(\vec{\rho})$  be a three times continuously differentiable function on  $\mathbb{R}^3$ , such that  $\psi$  itself and all its first and second derivatives decrease at least like 1/| $\vec{\rho} |^2$  for  $|\vec{\rho}| \rightarrow \infty$ . Then, for any real number  $A > 0$ ,

$$
\int d^3p \frac{\psi(\vec{p}) e^{i\vec{p}\vec{L}}}{A - \vec{p}^2 + i\epsilon} \xrightarrow{| \vec{L} | \to \infty} -\frac{2\pi^2}{L} \psi(\sqrt{A}_{\vec{L}}) e^{i\sqrt{A}L} + \mathcal{O}(L^{-\frac{3}{2}}).
$$

 $\Rightarrow$  Quantification of requirement of on-shellness for large  $L = |\vec{L}|$ .

W. Grimus, P. Stockinger, Phys. Rev. **D54** (1996) 3414, hep-ph/9603430

# From the amplitude to the transition rate

Amplitude:

$$
i\mathcal{A} = \frac{-i}{2L} \mathcal{N} \delta(E_S - E_D) \exp\left[-\frac{E_S^2 - m_j^2}{2\sigma_p^2}\right] \sum_j \mathcal{M}^{\mu} \mathcal{M}^{\nu*} |U_{\theta j}|^2 e^{i\sqrt{E_S^2 - m_j^2}L} \n\cdot \bar{u}_{e,S} \gamma_{\mu} \frac{1 - \gamma^5}{2} (\not{p}_j + m_j) \frac{1 + \gamma^5}{2} \gamma_{\nu} u_{e,D},
$$
\n
$$
\sigma_p^{-2} = (m_{\text{H}} \omega_{\text{H},S} + m_{\text{He}} \omega_{\text{He},S})^{-1} + (m_{\text{H}} \omega_{\text{H},D} + m_{\text{He}} \omega_{\text{He},D})^{-1}
$$

# From the amplitude to the transition rate

Amplitude:

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$$

Transition rate: Integrate  $|A|^2$  over densities of initial and final states

$$
\begin{aligned} \Gamma &\propto \int_0^\infty dE_{\text{H},S}\ dE_{\text{He},S}\ dE_{\text{He},D}\ dE_{\text{H},D} \\ &\cdot \delta(E_S-E_D)\rho_{\text{H},S}(E_{\text{H},S})\ \rho_{\text{He},D}(E_{\text{He},D})\ \rho_{\text{He},S}(E_{\text{He},S})\ \rho_{\text{H},D}(E_{\text{H},D}) \\ &\cdot \sum_{j,k} |U_{\text{ej}}|^2 |U_{\text{ek}}|^2 \ \text{exp}\left[-\frac{2E_S^2-m_j^2-m_k^2}{2\sigma_p^2}\right] \underbrace{e^{i\left(\sqrt{E_S^2-m_j^2}-\sqrt{E_S^2-m_k^2}\right)L}}_{\text{Oscillation phase}} \\ &\xrightarrow[\text{Recoil-free fraction)} \end{aligned}
$$

The Lamb-Mössbauer factor is the relative probability of recoil-free emission and absorption, compared to the total emission and absorption probability.

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Convenient reformulation:

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\exp\left[-\frac{2E_S^2 - m_j^2 - m_k^2}{2\sigma_\rho^2}\right] = \exp\left[-\frac{(\rho_{jk}^{\min})^2}{\sigma_\rho^2}\right] \exp\left[-\frac{|\Delta m_{jk}^2|}{2\sigma_\rho^2}\right]
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\nwhere  $(\rho_{jk}^{\min})^2 = E_S^2 - \max(m_j^2, m_k^2)$ .

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 $\mathsf{where} \; (p_{jk}^{\min})^2 = E_S^2 - \max(m_j^2, m_k^2).$ 

⇒ Localization condition

 $4\pi\sigma_x E/\sigma_p \lesssim L^{\rm osc}_{jk}$ 

(with  $\sigma_x = 1/2\sigma_p$ ) is satisfied if  $L_{jk}^{\rm osc} \gtrsim 2\pi\sigma_x$ , which is easily fulfilled in realistics situations.

#### Energy levels of <sup>3</sup>H and <sup>3</sup>He in the source and detector are smeared e.g. due to spin-spin interactions, crystal impurities, lattice defects, etc.

R. S. Raghavan, hep-ph/0601079

W. Potzel, Phys. Scripta **T127** (2006) 85

B. Balko, I. W. Kay, J. Nicoll, J. D. Silk, G. Herling, Hyperfine Int. **107** (1997) 283

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Good approximation:

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\rho_{A,B}(E_{A,B}) = \frac{\gamma_{A,B}/2\pi}{(E_{A,B}-E_{A,B,0})^2 + \gamma_{A,B}^2/4}
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Result for two neutrino flavours:

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\Gamma \propto \frac{(\gamma_S + \gamma_D)/2\pi}{(E_{S,0} - E_{D,0})^2 + \frac{(\gamma_S + \gamma_D)^2}{4}} \cdot \left\{ 1 - 2s^2 c^2 \left[ 1 - \frac{1}{2} (e^{-L/L_S^{coh}} + e^{-L/L_D^{coh}}) \cos\left(\pi \frac{L}{L_{osc}}\right) \right] \right\}
$$
  

$$
L_{S,D}^{coh} = 4\bar{E}^2/\Delta m^2 \gamma_{S,D}
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$$
  
con

In realistic cases:  $L^{\rm coh}_{S,D}\gg L^{\rm osc}\Rightarrow$  Decoherence is not an issue.

*L*

# **Outline**



#### [Oscillations of Mössbauer neutrinos: Qualitative arguments](#page-15-0)



<span id="page-57-0"></span>

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- $\triangleright$  Both conditions are easily fulfilled in realistic experiments.

<span id="page-68-0"></span>Thank you!